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## Factors Associated with Loss to Follow-up among HIV-Infected Patients at an Urban, Hospital-Based Outpatient HIV Clinic Timothy Friel<sup>1</sup>, A. Nerino<sup>2</sup>, L. Nagel<sup>1</sup>, J. D'Aversa<sup>1</sup>, A. Strobel<sup>1</sup>, J. Sabino<sup>1</sup>, D. Faulkner<sup>2</sup> (1) AIDS Activities Office of Lehigh Valley Health Network, Allentown, PA, (2) Department of Community Health Studies, Lehigh Valley Health Network, Allentown, Pennsylvania

### Abstract (#1058)

**BACKGROUND:** Loss to follow-up (LTF) from HIV care has been linked to multiple negative health outcomes, including virologic failure, disease progression and reduced survival. We sought to elaborate the primary epidemiologic factors associated with LTF among patients newly enrolled in an urban, hospital-based outpatient HIV program.

**METHODS:** We completed a retrospective medical record review of all new patients presenting for care between 2005 and 2008. LTF was defined as an interruption between medical visits of more than 180 days. Alpha was set at <0.05 with a 95% Cl. Bivariate analyses were performed using SPSS 15.0 (IBM, Inc., Chicago, IL).

**RESULTS:** Among the 321 patients included in the analysis, three groups were identified: patients without lapses in medical visits (No LTF; 37%); patients intermittently LTF who later returned to care (ILTF; 30%), and patients permanently lost to follow-up (PLTF; 33%). Demographic variables associated with PLTF included younger age (p=0.033) and residence in a medical, corrections or rehabilitation facility (p=0.012). Other significant risk factors included prior incarceration (p=0.012), reported use of alcohol and/or recreational drugs (p=0.015), and evidence of anxiety or depression on routine screening at program intake (p=0.016 and 0.005, respectively). A transmission risk factor of injection drug use (IDU) was associated with PLTF (p=0.002), while a CD4 count less than 100 at program entry correlated with increased retention in care (p=0.035). Conditioned bivariate analyses demonstrated reduced engagement occurring more commonly among young African Americans (p=0.026), White, non-Hispanic IDU (p<0.001) and women with histories of arrest (p=0.036) and incarceration (p=0.036). Conditioned bivariate analyses demonstrated reduced engagement occurring more commonly among young Black/ African Americans (p=0.026), White, non-Hispanic IDU (p<0.001) and women with histories of arrest (p=0.036) and incarceration (p=0.036).

**CONCLUSION:** LTF is an all-too-common phenomenon in HIV care with potentially significant consequences for patients. Multiple clinical, demographic and psychosocial factors are associated with suboptimal retention in care. Special strategies to enhance the engagement of patients at highest risk for LTF are warranted.

## Background

- Many HIV-infected Americans have failed to reap the benefits of current HIV therapies because of suboptimal rates of diagnosis, linkage, engagement and retention.<sup>1</sup>
- Non-adherence with medical visits has been associated with multiple undesirable outcomes:
  - lower CD4 counts and higher viral loads<sup>2</sup>
  - delays in virologic suppression and increased HIV viral load burden<sup>3</sup>
  - virologic failure<sup>4</sup>
  - the development of AIDS-defining illnesses<sup>5</sup>
  - reduced survival<sup>5-11</sup>
- Previous studies have coupled several clinical, demographic and psychosocial factors with the phenomenon of patient loss to follow-up (LTF).
- We aimed to establish the incidence of LTF and identify the correlates of LTF among patients newly enrolled in care at the AIDS Activities Office (AAO) of Lehigh Valley Health Network, an urban, multidisciplinary primary care practice for almost 800 HIVinfected patients in Allentown, PA.

# Methods

- Completed retrospective chart review of medical and case management records of all new patients enrolling into care between January 2005 and June 2008 (n=321)
- Calculated number of days between consecutive medical visits from 2005 to 2010
- "Loss to Follow-up" (LTF) defined as any unexplained interrruption between medical visits of greater than 180 days.
- Potential correlates of LTF examined through bivariate and conditioned bivariate analyses using SPSS 15.0 (IBM, Inc., Chicago, IL). - Alpha set at <0.05 with a 95% Cl.
- Study approved by Institutional Review Board of Lehigh Valley Health Network.

Table I. Frequency of Loss to Follow-up Among Newly Enrolled Patients							
	N	Percent					
No LTF	120	37.4%					
ILTF	96	29.9%					
PLTF	105*	32.7%					
TOTAL	321	100%					

* 45/105 PLIF patien	its (42.8%) only had a single	medical visit.
-		

Table II. Analysis of Factors Potentially Associated with Loss to Follow-up Among New Patien									
Variables	N	No LTF		IĽ	TF	PĽ	p Value		
		%	N	%	N	%	N		
Gender	319								
Female		38%	38	27%	27	35%	35	.670	
Male		37.4%	82	31.5%	69	31.1%	68		
Age (Quartiles)	321								
16-37		36%	32	24.7%	22	39.3%	35	.033	
38-43		27.6%	21	32.9%	25	39.5%	30		
44-49		39.5%	30	39.5%	30	21.1%	16		
50-73		46.3%	37	23.8%	19	30%	24		
Race/Ethnicity	316								
White Non-Hispanic		43.5%	47	24.1%	26	32.4%	35	.280	
Black Non-Hispanic		34.4%	30	35.6%	31	29.9%	26		
Hispanic		32.2%	39	31.4%	38	36.4%	44		
Initial CD4 Count	309			07.00/					
<=100		55.4%	41	27.0%	20	17.6%	13	.035	
101 - 200		32.3%	10	25.8%	8	41.9%	13		
201 - 350		29.0%	18	38.7%	24	32.3%	20		
351 - 500		33.9%	19	30.4%	1/	35.7%	20		
501+		33.7%	29	30.2%	36	36.0%	31		
Transmission Status	309	07.40/	50	20.70/		00.00/	54	0000	
Heterosexual		37.4%	58	29.7%	46	32.9%	51	.002	
MSM		47.9%	45	31.9%	30	20.2%	19		
IDU Duya (FTOULUSS (Net Nisstins)	242	21.7%	13	28.3%	1/	50%	30		
Drug/EIOH Use (Not Nicotine)	243	20.00/	40	24.00/	50		50	040	
Yes		30.6%	48	31.8%	50	37.0%	59	.046	
NU Drug/ETOH Han (Inc. Nicotino)	245	39.5%	54	58.4%	55	22.1%	19		
Voc	245	20.10/	52	22 /10/	57	27 50/	66	015	
No		/2 5%	20	27 7%	26	10.0%	20	.015	
IVU	220	45.5%	50	57.770	20	10.070	50		
With Darents or Family	230	31.6%	27	/11 0%	27	21 1%	10	012	
With Spouse/Dartner		10.0%	27	41.0% 36.0%	24	24.470	15	.012	
Alone/Roommate		32.1%	17	30.5%	18	23.170	13		
Institution/Treatment Ctr		20.6%	7	20.6%	7	58.8%	20		
Poverty Status	280	20.070	,	20.070	,	50.070	20		
< Federal Poverty Level	200	42.1%	96	30.3%	69	27.6%	63	009	
100-200% Poverty Level		57.7%	15	42.3%	11	0%	0	.005	
>200%		26.9%	7	50.0%	13	23.1%	6		
History of Incarceration	216								
Yes		23.5%	19	33.3%	27	43.2%	35	.012	
No		40.0%	54	34.1%	46	25.9%	35		
Anxiety Score (HADS)**	252								
Low (0 - 7)		42.4%	50	42.4%	50	15.3%	18	.016	
Moderate (8 - 10)		41.3%	19	37.0%	17	21.7%	10		
High (11 - 21)		40.9%	36	25.0%	22	34.1%	30		
Depression Score (HADS) **	252								
Low (0 - 7)		38.6%	61	39.9%	63	21.5%	34	.005	
Moderate (9 - 10)		62.0%	31	22.0%	11	16.0%	8		
High (11 - 21)		29.5%	13	34.1%	15	36.4%	16		

# Results

 Low baseline CD4 count (<100 cells/mL) was</li> associated with sustained retention in care. Surprisingly, patients with lower incomes were less likely to have visit interruptions.

Patient Categories						
	Abbreviation	Definition				
No Loss to Follow-up	No LTF	Patients without any unexplained lapse in medical care >180 days				
Intermittent LTF	ILTF	Patients intermittently lost to follow-up (>180 days) who later return to care				
Permanent LTF	PLTF	Patients permanently lost to follow-up during analysis				

 More than 60% (201/321) of newly enrolled patients had at least one episode of LTF during the six years of observation. (Table I)

> - 48% (96/201) were ultimately reengaged in care (ILTF). Patients with a single medical visit accounted for 43% of the PLTF group.

 Several factors increased the risk of interrupted patient engagement (p<0.05). (Table II)

- Demographic: Age < 43 years

– Psychosocial:

- Reported use of alcohol, recreational drugs and
- History of incarceration
- Temporary residence in a medical, corrections or rehabilitation facility (almost 80% with some visit gap and 59% with PLTF)
- Screening indicating significant anxiety or depression at program intake (enrollment in psychiatric services was not predictive).

Clinical: HIV transmission via injection drug use

- with LTF:
  - Baseline Viral Load
  - New HIV or AIDS Diagnosis
  - Zip Code/Proximity to Practice
  - Method of Transportation
  - Marital Status
  - Children Age <18 years at Home</li>
  - Education Level
  - Employment Status
  - History of Arrest
  - Insurance Status
  - Enrollment in Mental Health Program
  - Learning Impairments
  - Probation or Parole at Intake
- Conditioned bivariate analysis revealed unique subgroups with heightened risk for LTF: (Table III)
  - Non-Hispanic White Females
  - Non-Hispanic Blacks  $\leq$  43 years
  - Non-Hispanic Whites with a history of drug/alcohol use
  - Females with histories of arrest or incarceration
  - Non-Hispanic Whites with histories of arrest or incarceration
- warranted.

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### The following factors were not statistically correlated

Variables		No LTF		10	ILTF		ſF	p Value	
		%	Ν	%	N	%	N		
Gender* Race/Ethnicity	314								
White Non-Hispanic									
Female		30.0%	6	10.0%	2	60.0%	12	.012	
Male		46.6%	41	27.3%	24	26.1%	23		
Black Non-Hispanic				N	ot Signific	ant			
Hispanic		Not Significant							
Age* Race/Ethnicity	316	16							
White Non-Hispanic				N	ot Signific	ant			
Black Non-Hispanic									
16-37		23.8%	5	38.1%	8	38.1%	8	.026	
38-43		19.0%	4	33.3%	7	47.6%	10		
44-49		33.3%	7	52.4%	11	14.3%	3		
50-73		58.3%	14	20.8%	5	20.85	5		
Hispanic		Not Significant							
Transmission Status* Gender	309								
Female									
Heterosexual		44.4%	32	26.4%	19	29.2%	21	.042	
IDU		19.0%	4	23.8%	5	57.1%	12		
Male									
Heterosexual		31.3%	26	32.5%	27	36.1%	30	.005	
MSM		48.9%	45	32.6%	30	18.5%	17		
IDU		23.1%	9	30.8%	12	46.2%	18		
Transmission Status* Race/Ethnicity	309								
White Non-Hispanic									
Heterosexual		30.8%	12	28.2%	11	41%	16	.001	
MSM		57.9%	33	24.6%	14	17.5%	10		
IDU		10.0%	1	10.0%	1	80.0%	8		
Black Non-Hispanic				N	ot Signific	ant			
Hispanic				N	ot Signific	ant			
Drug/Alcohol Use* Race Ethnicity (exc. Nicotine)	239								
White Non-Hispanic									
Yes		36.4%	16	18.2%	8	45.5%	20	.014	
No		45.8%	11	41.7%	10	12.5%	3		
Black Non-Hispanic		Not Significant							
Hispanic				N	ot Signific	ant			
Arrest or Incarceration* Gender	244								
Female									
Prior Arrest		23.8%	10	33.3%	14	42.9%	18	.036	
No Prior Arrest		51.4%	18	25.7%	9	22.9%	8		
Male				N	ot Signific	ant			
Female									
Prior Incarceration		20.0%	4	25.0%	5	55.0%	11	.036	
No Prior Incarceration		47.6%	20	28.6%	12	23.8%	10		
Male				N	ot Signific	ant			
Arrest or Incarceration* Race/Ethnicity	213								
White Non-Hispanic									
Yes		24.4%	10	31.7%	13	43.9%	18	.012	
No		57.5%	15	26.9%	7	15.4%	4		
Black Non-Hispanic	Not Significant								

### Conclusions

Loss to follow-up is an all-too-common phenomenon among patients newly enrolled in care, with more than half of these individuals exhibiting unexplained absences greater than 180 days during six years of observation.

• Since LTF has been associated with multiple detrimental health outcomes, special strategies to enhance the engagement of HIV patients at highest risk for LTF are

• Prospective studies assessing the impact of programmatic interventions to improve patient retention rates are desperately warranted.

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