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## ABSTRACT

**PURPOSE** Improving primary care quality is a national priority, but little is known about the extent to which small to medium-size practices use quality improvement (QI) strategies to improve care. We examined variations in use of QI strategies among 1,181 small to medium-size primary care practices engaged in a national initiative spanning 12 US states to improve quality of care for heart health and assessed factors associated with those variations.

**METHODS** In this cross-sectional study, practice characteristics were assessed by surveying practice leaders. Practice use of QI strategies was measured by the validated Change Process Capability Questionnaire (CPCQ) Strategies Scale (scores range from -28 to 28, with higher scores indicating more use of QI strategies). Multivariable linear regression was used to examine the association between practice characteristics and the CPCQ strategies score.

**RESULTS** The mean CPCQ strategies score was 9.1 (SD = 12.2). Practices that participated in accountable care organizations and those that had someone in the practice to configure clinical quality reports from electronic health records (EHRs), had produced quality reports, or had discussed clinical quality data during meetings had higher CPCQ strategies scores. Health system-owned practices and those experiencing major disruptive changes, such as implementing a new EHR system or clinician turnover, had lower CPCQ strategies scores.

**CONCLUSION** There is substantial variation in the use of QI strategies among small to medium-size primary care practices across 12 US states. Findings suggest that practices may need external support to strengthen their ability to do QI and to be prepared for new payment and delivery models.

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## INTRODUCTION

Health care delivery is evolving in the United States, with increasing emphasis on insurance payments based on improving quality instead of merely delivering health care services.<sup>1</sup> This emphasis has brought a greater focus on population health management, quality measurement, and health care outcomes. Primary care practices use quality improvement (QI) strategies, such as monitoring and assessing outcomes, having skilled QI teams, and using system redesigns to improve patient and population health outcomes, system performance, and clinician experience, and to reduce health care costs.<sup>2-6</sup> Implementing QI strategies can help practices deliver appropriate health services efficiently and improve health outcomes,<sup>7-10</sup> yet much of what we know about the use of QI strategies in medical care comes from surveys conducted mostly in hospitals and health systems.<sup>11-17</sup>

Little is known about the use of QI strategies in small to medium-size primary care practices, where more than one-half of Americans receive care for their chronic conditions.<sup>18</sup> Earlier studies have lacked generalizability because of small sample sizes and limited contextual diversity. Better understanding of the prevalence and reasons for variation in use of QI strategies among smaller practices is needed, because this information

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can help Regional Extension Centers, Area Health Education Centers, and providers of health information technology enhance their capacity to promote QI initiatives.<sup>19-35</sup> Accordingly, we leveraged a large sample of small to medium-size primary care practices in the United States to (1) assess the extent to which they use QI strategies as measured by the Change Process Capability Questionnaire (CPCQ) Strategies Scale, and (2) evaluate practice characteristics and contextual factors that may explain variations in use of QI strategies.

## METHODS

### Setting

In 2015, the Agency for Healthcare Research and Quality (AHRQ) launched EvidenceNOW: Advancing Heart Health in Primary Care, a multiyear pragmatic trial. EvidenceNOW is designed to generate information about the effectiveness of external QI support in helping small to medium-size primary care practices improve the heart health of their patients. The project is also designed to identify opportunities for developing organizational capacity for ongoing primary care practice improvement. AHRQ funded 7 regional cooperatives—grantees in each region—that were responsible for creating external support infrastructures to improve delivery of cardiovascular disease preventive care. The 7 participating regions that cover 12 US states include Colorado and New Mexico (Southwest Region); Illinois, Wisconsin, and Indiana (Midwest Region); New York City, North Carolina, Oklahoma, Virginia, and Washington, Oregon, and Idaho (Northwest Region).<sup>36-38</sup> AHRQ also funded an independent national evaluation of the overall initiative—ESCALATES (Evaluating System Change to Advance Learning and Take Evidence to Scale).<sup>39</sup>

### Survey Sample and Administration

EvidenceNOW cooperatives recruited 1,710 small to medium-size primary care practices, defined as practices with up to 10 clinicians (including physicians, physician assistants, or nurse practitioners).<sup>39</sup> Because of recruitment challenges, the funder allowed some cooperatives to recruit a small number of practices with up to 15 full-time clinicians. A person knowledgeable about the practice structure and organization (eg, medical director or practice manager) from each participating practice was invited to complete a survey questionnaire before the start of any intervention (baseline). They were encouraged to consult with other members of the practice to gather relevant information to complete different parts of the questionnaire. From September 2015 through April 2017, 1,489 practice questionnaires (87% response rate)

were collected. Each cooperative selected the mode of administration of the questionnaire based on their on-the-ground experiences with successfully collecting questionnaires to ensure high response rates. Details about practice recruitment and survey data collection are described elsewhere.<sup>39</sup>

### Outcome Measure

The study outcome measure was practice use of QI strategies as measured by the CPCQ Strategies Scale. The CPCQ Strategies Scale includes 14 items assessing the extent to which a practice has used specific QI strategies to improve cardiovascular preventive care in the prior year. The CPCQ strategies score is computed as a sum of items rated from -2 (strongly disagree) to 2 (strongly agree); it ranges from -28 to 28. Higher scores indicate greater use of QI strategies. This scale was developed by expert clinic implementers in an iterative modified Delphi process.<sup>40</sup> The scale has been previously validated in smaller practices, is reliable in measuring practice use of QI strategies, and correlates well with change in practice and care quality outcomes.<sup>9,41</sup>

### Independent Variables

At the study's initiation, the ESCALATES evaluation team led harmonization of survey measures with all cooperatives to identify and collect a core set of common survey domains and items. These domains and items were derived from previous primary care research studies and demonstration projects focused on improving quality and from the National Ambulatory Medical Care Survey Electronic Medical Records Questionnaire.<sup>18,42-47</sup> The survey assessed practice characteristics (eg, size, ownership, location), factors external to the practice (eg, participation in demonstration projects, accountable care organizations [ACOs], or external incentive programs for reporting quality), and factors internal to the practice (eg, health information technology characteristics, use of clinical quality data for reporting and QI, and use of evidence in practice). See the Supplemental Table 1, available at [http://www.annfam.org/content/16/Suppl\\_1/S35/suppl/DC1/](http://www.annfam.org/content/16/Suppl_1/S35/suppl/DC1/).

### Statistical Analysis

We calculated descriptive statistics (mean, standard deviation, proportions) to characterize EvidenceNOW practices. We constructed a frequency histogram to examine variability in the CPCQ strategies score across practices. We then assessed the mean difference in the CPCQ strategies score by practice characteristics in 2 ways: (1) we used univariable linear regression to model each practice characteristic separately on the CPCQ strategies score; and (2) we used multivariable

linear regression to create a model based on all of the practice characteristics. To select the final multivariable model, we utilized an Akaike information criterion backward variable selection method, which selects relevant independent variables. This method enhances interpretability and reduces multicollinearity. The model with the smallest Akaike value, regardless of statistical significance, is the best fit.<sup>48,49</sup> This approach is grounded in the data and able to discover associations that may not have been tested previously. It does not make assumptions as to the comparative importance of some group of variables, because a priori knowledge on what practice characteristics are more important is limited. We used Cohen's *d* effect sizes to evaluate whether differences between practice characteristic groups were clinically meaningful.<sup>50</sup> Typical cutoff values for Cohen's *d* are 0.2 for small, 0.5 for medium, and 0.8 for large effect sizes, corresponding to CPCQ strategies scores of 2.42, 6.05, and 9.68. The coefficient of determination ( $R^2$ ) was used to estimate the extent to which variation in CPCQ strategies score is explained by the regression model.

To evaluate potential bias that is due to missing practice characteristics data, we used multiple imputation by chained equations.<sup>51</sup> Standard errors for logistic model parameters were corrected using standard multiple imputation adjustments. All statistical tests were 2-sided and  $\alpha$  level of .05. Statistical analyses were performed using R version 3.3.2 (R Foundation). This study was approved by the Institutional Review Board at Oregon Health and Science University and UTHealth School of Public Health and was registered as an observational study at clinicaltrials.gov (NCT02560428).

## RESULTS

Table 1 describes the 1,181 small to medium-size EvidenceNOW practices with complete data on CPCQ Strategies Scale.

Eighty-two percent of practices had 10 or fewer clinicians; 20% were solo practices. The sample varied widely on types of ownership (clinician, health/hospital system, federally qualified health center, other). Sixteen percent of practices were located in rural areas, and 35% were in a medically underserved area. More than one-third of the practices reported being recognized as patient-centered medical homes, one-third as part of an ACO, and around 30% had participated in payment or quality demonstration programs. Almost one-half reported receiving external incentives or payments based on measurement of performance on clinical quality, adoption or use of information technology, or patient satisfaction.

Twenty-one percent of participating practices reported experiencing multiple major disruptions in the previous 12 months (eg, implementing a new electronic health records [EHRs] or billing system, moving to a new location, having clinician turnover, or being purchased by another organization). Almost two-thirds of practices reported producing a clinical quality measure report for aspirin, blood pressure, or tobacco cessation counseling in the previous 6 months, and 17% did not have the ability to create their own reports. Two-thirds of practices also used chronic disease registries, and more than one-half used EHR prompts and reminders or standing orders to promote evidence-based practices for cardiovascular disease prevention (60.9%) and management (57.0%).

### Use of QI Strategies (Outcome)

The mean CPCQ strategies score was 9.2 (SD = 12.1, range = -28 to 28) with a slightly left-skewed distribution (Figure 1). There was considerable variation across cooperatives (range = 5.7-14.5). As shown in Table 2, most practices reported providing information and skills training to staff (75.4%) and changing or creating practice systems that make it easier to provide high-quality care (79.2%). Only 46.4% of practices reported delegating care to nonclinician staff, however, and only 41.7% used such methods as rapid cycle QI to implement organization-wide changes.

Practice characteristics included in the final multivariable model explained 22.4% of the variance observed in CPCQ strategies scores (Table 3 and Supplemental Table 2, available at [http://www.annfamned.org/content/16/Suppl\\_1/S35/suppl/DC1/](http://www.annfamned.org/content/16/Suppl_1/S35/suppl/DC1/)). Adjusted for other practice characteristics, hospital or health system-owned practices had a lower mean CPCQ strategies score than did clinician-owned practices. Practices that were part of an ACO had higher mean scores; participating in demonstration projects or receiving external incentives for reporting quality were not associated with use of QI strategies.

Practices that experienced multiple disruptive changes during the previous year also scored significantly lower (mean difference = -2.68,  $P < .05$ ). Practices that partly used EHR systems but were partly on paper had lower mean CPCQ strategies scores (-3.59,  $P < .05$ ). Practices that had generated clinical quality measure reports in the previous 6 months had higher mean scores (5.09,  $P < .001$ ) than those that had not. Further, practices that had someone in house to create clinical quality measure reports and those that discussed clinical quality data often during their staff meetings scored significantly higher. Finally, practices that had patient registries and those that used guidelines for cardiovascular disease management via EHR

prompts and reminders or standing orders also had higher mean scores (4.45,  $P < .001$ ) (Table 3).

Multivariable linear regression models using multiple imputation to examine the potential bias related

to missing practice characteristics data (Supplemental Tables 3 and 4, available at [http://www.annfamned.org/content/16/Suppl\\_1/S35/suppl/DC1/](http://www.annfamned.org/content/16/Suppl_1/S35/suppl/DC1/)) showed qualitatively similar findings to the models without imputation.

**Table 1. Characteristics of Participating EvidenceNOW Study Practices (N = 1,181)**

Characteristic	No. (%)	Characteristic	No. (%)
<b>Practice factor</b>		<b>Internal factor (continued)</b>	
Practice size		Physical location of data	
Solo practice	241 (20.4)	Server in practice	436 (36.9)
2-5 clinicians	558 (47.2)	In cloud with vendor	246 (20.8)
6-10 clinicians	174 (14.7)	Health system data warehouse	229 (19.4)
≥11 clinicians	143 (12.1)	Do not know where data reside	111 (9.4)
Practice ownership		Shares patient health information electronically	762 (64.5)
Clinician owned	436 (36.9)	Clinical laboratories incorporated as structured data	965 (81.7)
Hospital, health system	275 (23.3)	Use of EHR data for quality improvement, reporting	
FQHC, RHC, IHS, federal <sup>a</sup>	286 (24.2)	Produced quality report(s) in prior 6 mo	741 (62.7)
Other, none <sup>b</sup>	133 (11.3)	Report CQMs	
Location <sup>c</sup>		Practice level	867 (73.4)
Urban core	733 (62.1)	Clinician level	881 (74.6)
Large town	171 (14.5)	EHR vendor helps produce CQM reports	
Rural area	191 (16.2)	Yes, with restrictions	226 (19.1)
Suburban	86 (7.3)	Yes, with no restrictions	287 (24.3)
Medically underserved area classification	410 (34.7)	No	163 (13.8)
<b>External factor</b>		Do not know	211 (17.9)
Received external incentives in prior 12 mo	602 (51.0)	Outside organization helps EHR data capture	731 (61.9)
Participation in other demonstration projects <sup>d</sup>	369 (31.2)	Ability to create CQM reports	
Patient-centered medical home recognition	481 (40.7)	No ability	206 (17.4)
Part of an accountable care organization	452 (38.3)	In-house clinician or other staff member writes reports	305 (25.8)
<b>Internal factor</b>		Outside service/consultant writes reports	438 (37.1)
Major disruption(s) in prior 12 mo <sup>e</sup>		Routinely discuss clinical quality data	
No major disruption	433 (36.7)	Never	115 (9.7)
One major disruption	412 (34.9)	Infrequently	294 (24.9)
More than one major disruption	246 (20.8)	Often	425 (36.0)
Health information technology characteristics		Not applicable, solo practice	83 (7.0)
Completely electronic health record <sup>d</sup>	1,030 (87.2)	Do not know	98 (8.3)
Time on EHR		Use of at least 1 registry	781 (66.1)
≤3 y	324 (27.4)	Guidelines for CVD <sup>9</sup> prevention	
4-6 y	344 (29.1)	Not used or clinician agreement to use	390 (33.0)
>6 y	365 (30.9)	Included in EHR prompts or standing orders	719 (60.9)
ONC-certified EHR	972 (82.3)	Guidelines for CVD management	
Participation in Meaningful Use		Not used or clinician agreement to use	436 (36.9)
Not participating	189 (16.0)	Included in EHR prompts or standing orders	673 (57.0)
Stage 1 only	139 (11.8)		
Stage 1 and stage 2	722 (61.1)		

CPCQ = Change Process Capability Questionnaire, CQM = clinical quality measure, CVD = cardiovascular disease, EHR = electronic health record, FQHC = federally qualified health center, IHS = Indian Health Services clinic, ONC = Office of the National Coordinator for Health Information Technology, RHC = rural health clinic.

Note: Percentages may not add up to 100% because of missing data. Only practices with complete CPCQ strategies scores are included. Additional characteristics of the practices involved in EvidenceNOW are located in the Supplemental Appendix, at [http://www.annfamned.org/content/16/Suppl\\_1/S35/suppl/DC1/](http://www.annfamned.org/content/16/Suppl_1/S35/suppl/DC1/).

<sup>a</sup> Federally qualified health centers, rural health clinics, Indian Health Services clinics and Veterans Affairs, military, Department of Defense, or other federally owned practices.

<sup>b</sup> Practices with nonfederal, private/nonclinician, academic, or tribal ownership, those indicating "other" without specifying an ownership type, and practices responding no to every ownership type.

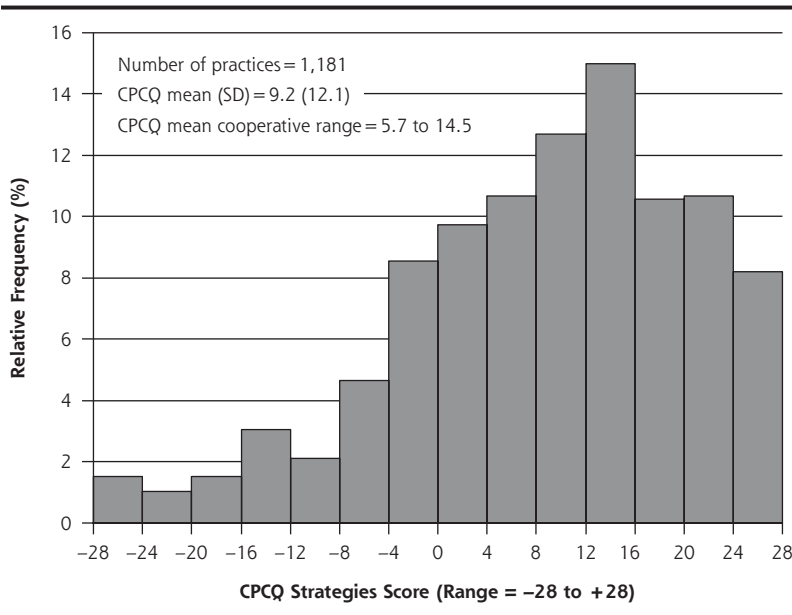
<sup>c</sup> Determined using rural-urban commuting area codes.

<sup>d</sup> State Innovation Models Initiative, Comprehensive Primary Care Initiative, Transforming Clinical Practice Initiative, community health worker training program, Blue Cross Blue Shield Patient-Centered Medical Home program, and Million Hearts.

<sup>e</sup> New EHR, new billing system, moved locations, staff turnover, and purchased by or affiliated with larger organization.



**Figure 1. Distribution of the CPCQ strategies scores among 1,181 EvidenceNOW practices.**



CPCQ = Change Process Capability Questionnaire.

**Table 2. Components of the Change Process Capability Questionnaire Strategies Scale (N = 1,181 practices)**

The practice has used the following strategies to improve cardiovascular preventive care	Agree or Strongly Agree No. (%)
Changing or creating systems in the practice that make it easier to provide high-quality care	935 (79.2)
Providing information and skills training	891 (75.4)
Removal or reduction of barriers to better quality of care	880 (74.5)
Periodic measurement of care quality for assessing compliance with any new approach to care	816 (69.1)
Using teams focused on accomplishing the change process for improved care	808 (68.4)
Deliberately designing care improvements to make the care process more beneficial to the patient	799 (67.7)
Using opinion leaders, role modeling, or other vehicles to encourage support for changes	757 (64.1)
Providing to those who are charged with implementing improved care the power to authorize and make the desired changes	753 (63.8)
Reporting measurements of practice performance on CVD prevention measures for comparison with their peers	740 (62.7)
Setting goals and benchmarking rates of performance quality on CVD prevention measures at least yearly	683 (57.8)
Customizing the implementation of CVD prevention care changes to the practice	632 (53.5)
Deliberately designing care improvements so as to make clinician participation less work than before	623 (52.8)
Delegating to nonclinician staff the responsibility to carry out aspects of care that are normally the responsibility of physicians	548 (46.4)
Using rapid cycling, piloting, pretesting, or other vehicles for reducing the risk of negative results for introducing organization-wide change in care	492 (41.7)

CVD = cardiovascular disease.

## DISCUSSION

This study is the first to characterize the extent of use of QI strategies in a large, diverse sample of 1,181 small to medium-size primary care practices. Practices were located in both urban and rural areas; they spanned 12 US states; and, they included a wide array of ownership structures. Such practices often lack the capacity to make and sustain changes and are typically the ones most in need of support to implement QI strategies.<sup>52</sup> Although more than 70% of practices provided information and skills training to their staff and changed practice systems to improve care, only 40% used such QI methods as rapid cycle tests to implement system-wide changes. Other studies have described use of QI strategies as measured by the CPCQ Strategies Scale,<sup>9,41,53</sup> but these represented smaller regions or single states, thus lacking the contextual and geographic diversity of EvidenceNOW.

Including a wide array of potential practice characteristics explained almost 22% of the variance observed in the CPCQ strategies score. Three practice features (use of registries, guideline implementation systems, and discussing clinical quality measures for QI) explained the largest amount of variance in the strategies score in our study. These features have been previously found to be important for developing quality improvement capacity, and QI-savvy practices must have these at the minimum. Validation of this finding in the large EvidenceNOW practice sample adds to the internal validity of the CPCQ strategies score. Other practice characteristics not included in the EvidenceNOW harmonized survey may further account for unexplained variation

in the strategies score. These characteristics include aspects of practice culture and team organization, leadership structure, financial stability, and patient demographic characteristics that have also been shown to be associated with practices using QI strategies.<sup>52,54-56</sup>

Our study also showed that practices with ability to extract and use EHR clinical quality data had higher mean CPCQ strategies scores. This finding is especially relevant in light of recent research from EvidenceNOW showing that smaller practices face considerable challenges in extracting and using the EHR data needed for QI. We found that not having a completely electronic system could be detrimental to higher use of QI strategies and may make it harder for practices to have access to data needed for reporting and QI. The benefits of increasing use of QI strategies may be counterbalanced by the increasing disruptions we found practices are experiencing in the current health care environment. More independent practices are being purchased by health care systems, and EHRs are being updated with the advent of new technologies. Considerable practice resilience and capacity is required to continue making improvements in the midst of major disruptions.

Interestingly, health system or hospital-owned practices showed small but statistically significantly lower mean CPCQ strategies scores than independent, clinician-owned practices. This finding is somewhat counterintuitive because it is often the independently owned small practice that lacks the capacity to do QI.<sup>57,58</sup> Emerging qualitative findings from EvidenceNOW (data not reported), however, show that smaller practices owned by larger systems may not have the autonomy to make QI changes and, more importantly, may only represent a business acquisition rather than benefitting from QI support by the larger system.<sup>59,60</sup> In contrast, we found that practices participating in ACOs had higher CPCQ strategies scores. In the United States, groups of physicians, hospitals, and other health care clinicians that come together voluntarily to give coordinated high-quality care to the Medicare patients they serve are termed as ACOs. When an ACO succeeds in both delivering high-quality care and spending health care dollars more wisely, it will share in the savings it achieves for the

**Table 3. Adjusted Mean Difference in Change Process Capability Questionnaire Strategies Scores by Practice Characteristics Among 1,181 Small to Medium-Size Primary Care Practices**

Characteristic	Multivariable Final Model R <sup>2</sup> = 22.4% Adjusted Mean Difference
<b>Practice characteristics</b>	
Practice ownership (Ref: clinician-owned)	
Hospital/health system	-3.32 <sup>a</sup>
FQHC, RHC, IHS, federal <sup>c</sup>	-1.49
Other, none <sup>d</sup>	0.62
Missing	0.96
Location <sup>e</sup> [Ref: urban core]	
Large town	1.29
Rural area	0.65
Suburban	-2.13
Medically underserved area classification (Ref: No)	
Yes	-1.52
Missing	1.46
<b>External factors</b>	
Received external incentives in prior 12 mo (Ref: No)	
Yes	0.96
Missing	-1.43
Participation in other demonstration projects <sup>f</sup> (Ref: No)	
Yes	1.24
Missing	3.10
Part of an accountable care organization (Ref: No)	
Yes	2.74 <sup>a</sup>
Missing	2.23
<b>Internal factors</b>	
Major disruption(s) in prior 12 mo <sup>g</sup> (Ref: no disruption)	
1 major disruption	-0.22
>1 major disruption	-2.68 <sup>b</sup>
Missing	0.33

*continues*

AIC = Akaike Information Criterion; CQM = Clinical Quality Measure; CVD = cardiovascular disease; EHR = electronic health record; FQHC = federally qualified health center, IHS = Indian health services clinics; Ref = reference; RHC = rural health clinic; VA = Veterans Affairs.

Note: Final multivariable model was selected using backward model selection by the AIC. The model with the lowest AIC was preferred regardless of statistical significance for individual covariates.

<sup>a</sup> P value < .001.

<sup>b</sup> P value < .05.

<sup>c</sup> Includes FQHCs, RHCs, IHS, and VA, military, Department of Defense or other federally owned practices.

<sup>d</sup> Includes practices with nonfederal, private/nonclinician, academic, or tribal ownership, those indicating "other" without specifying an ownership type, and practices responding "no" to every ownership type.

<sup>e</sup> Location categories determined using rural-urban commuting area (RUCA) codes.

<sup>f</sup> Other demonstrations programs include State Innovation Models initiative, Comprehensive Primary Care Initiative, Transforming Clinical Practice Initiative, community health worker training program, Blue Cross Blue Shield Patient-Centered Medical Home program, and Million Hearts.

<sup>g</sup> Major disruptions include new EHR, new billing system, moved locations, staff turnover, and purchased/affiliated with larger organization.



**Table 3. Adjusted Mean Difference in Change Process Capability Questionnaire Strategies Scores by Practice Characteristics Among 1,181 Small to Medium-Size Primary Care Practices** (continued)

Characteristic	Multivariable Final Model R <sup>2</sup> = 22.4% Adjusted Mean Difference
<b>Internal factors</b> (continued)	
Completely electronic EHR (Ref: No, part paper)	
Yes	-3.59 <sup>b</sup>
Missing	-1.54
Produced quality report(s) in prior 6 mo (Ref: No)	
Yes	5.09 <sup>a</sup>
Missing	2.96
Report CQMs at practice level (Ref: No)	
Yes	2.21
Missing	5.09 <sup>b</sup>
Ability to create CQM reports from EHR (Ref: No ability)	
In-house clinician or other staff	2.26 <sup>b</sup>
Outside service/consultant	0.76
Missing	0.18
Routinely discuss clinical quality data (Ref: Never)	
Infrequently	1.87
Often	5.56 <sup>a</sup>
Not available, solo practice	4.40 <sup>b</sup>
Don't know	3.81 <sup>b</sup>
Missing	4.21 <sup>b</sup>
Use of at least 1 registry (Ref: No)	
Yes	3.74 <sup>a</sup>
Missing	2.22
Guidelines for CVD prevention or management <sup>h</sup> (Ref: Not used or clinician agreement to use)	
Included in EHR prompts or standing orders	4.45 <sup>a</sup>
Missing	0.41

AIC = Akaike information criterion; CQM = Clinical Quality Measure; CVD = cardiovascular disease; EHR = electronic health record; FQHC = federally qualified health center, IHS = Indian health services clinics; Ref = reference; RHC = rural health clinic; VA = Veterans Affairs.

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<sup>g</sup> Major disruptions include new EHR, new billing system, moved locations, staff turnover, and purchased/affiliated with larger organization.

<sup>h</sup> Guidelines for CVD prevention and management were combined to avoid multicollinearity. A practice was categorized as "Included in EHR prompts or standing orders" if they denoted that in either CVD prevention or CVD management or both.

Medicare program.<sup>61</sup> In light of the shifting national emphasis on new and emerging payment models focused on quality rather than provision of services,<sup>1,62</sup> this finding suggests that small to medium-size practices seeking to engage in ACOs might be on the forefront of increasing use of QI strategies or adopting new ones to be better prepared for the changing health care environment.

Our study findings are limited in that the EvidenceNOW practices were recruited for participation in a large dissemination and implementation initiative rather than a representative survey; they may not represent the underlying distribution of practices in each region with respect to such practice characteristics as size, ownership, and location. In addition, there were differences in modes of survey administration (Web survey, e-mail, paper) to tailor it to the individual region's need. This flexibility was needed to ensure high response rates and to minimize the amount of missing data. Even so, some items had more than 10% missing data that could have affected the study's findings. The observation that associations with response categories involving missing data became nonsignificant in multivariable analyses provides additional reassurance that findings are not due to nonresponse. In contrast to these limitations, our conclusions are based on one of the largest studies of small to medium-size, geographically dispersed, primary care practices, and statistical testing suggests that the results are not due to missing or biased data.<sup>63</sup>

Based on an unprecedented sample of more than 1,000 small to medium-size practices, those with registries, guideline implementation systems, and the ability to use quality measures data to guide improvements were most likely to use QI strategies. Practices with adequate QI support and autonomy and fewer major disruptions were also significantly more likely to use QI strategies to improve cardiovascular preventive services. Our study's findings are especially relevant because the health care environment in the United States is shifting; new delivery system and payment reforms, such as advanced alternative payment models, the merit-based incentive payment system, and ACOs, require practices to emphasize value rather than service volume. Smaller practices may lag behind in adoption of these new models because they

lack capacity. Our study emphasizes the importance of supporting these practices that are having the most difficulty implementing improvements to enhance their patients' care and outcomes.

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**Key words:** quality improvement; health care delivery; primary care

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