

On-X Valve: The Next Generation Aortic Valve.

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On-X Valve

The Next Generation Aortic Valve

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Abstract: The On-X valve is a newer generation mechanical bileaflet valve. Its key features include the use of pure pyrolytic carbon (devoid of silicon), a length-to-diameter ratio similar to a native valve, an inlet flared orifice, a leaflet opening up to 90 degrees, a shorter leaflet closing angle, a 2-point leaflet contact, and an actuated pivot. These features have translated into increased strength, improved valve hemodynamics, reduced hemolysis, and thrombogenicity. The 2014 American Heart Association/American College of Cardiology guidelines for the management of patients with valvular heart disease recommend an international normalized ratio (INR) of 2.5 (range, 2–3) in patients with a mechanical valve at the aortic position. However, based on the results of the Prospective Randomized On-X Anticoagulation Clinical Trial (PROACT), the Food and Drug Administration approved this valve in April 2015 in the aortic position with a lower INR goal of 1.5–2.0. This reduction in INR goals led to a statistically significant reduction in the combined endpoint of clots, bleeding events, and stroke rates with 9/patient-years for the lower INR group compared with 12/patient-years in the standard INR group. This review compares the currently available literature on the On-X valve with that of other contemporary valves.

Key Words: On-X valve, thrombosis, warfarin, anticoagulation, PROACT

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The On-X valve is a new generation bileaflet valve first implanted in 1996 (approved in Europe and the United States in 1998 and 2001, respectively) with more than 200,000 implantations worldwide. Since then, there have been multiple studies and publications evaluating its safety and efficacy in the aortic and mitral positions. A study by Özyurda et al.¹ demonstrated a 30-day mortality rate up to 3.5% independent of valve-related complications. These complications were found to be comparable with other series of aortic and mitral valve prostheses in a similar population.^{2–6} Prospective studies (with a 5-year follow-up duration) demonstrated promising short-term and mid-term performance of this valve.^{7–11} Longer-term data of up to 12 years, as reported by Chambers et al.¹² in 691 patients with 761 valves (407 in mitral position, 214 in aortic position, and 70 in aortic + mitral positions) demonstrated low rate of adverse clinical events. A multicenter European study that evaluated the hemodynamics of the On-X valve demonstrated improved mean valve

gradients and an effective orifice area with the On-X valve compared with earlier bileaflet models.^{13,14} The improved hemodynamics were later reconfirmed in a study by Chambers et al.,¹² which the authors attributed to the valve's design as elucidated in a later section of this article. A particularly interesting finding that stood out in all the reported studies was the absence of thrombosis with the On-X valve in the aortic valve position. Williams and van Riet¹⁵ demonstrated no thrombosis in the aortic valve position, with no or inadequate anticoagulation in up to 40% of the population. This formed the basis for the Prospective Randomized On-X Valve Anticoagulation Clinical Trial (PROACT trial) investigating the safety and efficacy of lower anticoagulation goals with the On-X valve, which will be discussed in this article.

FEATURES OF THE ON-X VALVE

The material used to construct the On-X valve is a newer generation pure pyrolytic carbon devoid of any silicon, which is 20% stronger and tougher when compared with the material used to construct conventional mechanical valves.^{16,17} The pyrolytic carbon used to manufacture conventional mechanical valves contains small amounts of silicon, which provides stiffness to the valve and also serves as a nidus for platelet aggregation and subsequent thrombus formation.

The On-X valve also has a length-to-diameter ratio similar to the native valve, an inlet flared orifice, an opening of leaflets up to 90 degrees, and a shorter leaflet closing angle, all of which reduces the turbulence and enhances increased blood flow across the valve.¹⁷ The valve also has a 2-point leaflet contact and leaflet guards, features that prevent tissue ingrowth and pannus formation, and hence improved valve efficiency even in a low flow state (which was a major problem encountered with conventional mechanical valves in a low flow state). Also, the presence of an actuated pivot and greater pivot washing gives the On-X valve a better efficiency and lesser theoretical risk of clotting (Figs. 1 and 2).

ECHOCARDIOGRAPHIC APPEARANCE OF THE ON-X VALVE

The On-X valve has a unique echocardiographic appearance. In the midesophageal short-axis views of the aortic On-X valve, the ends of the leaflet guards are clearly visible during diastole (Fig. 3). During systole, 3 flow channels can be observed between each leaflet and its respective guard (Fig. 4). In the midesophageal long-axis view, the inlet flare gives the On-X valve a prominent profile (Fig. 4A). Washing or regurgitant jets flow through the hinge pivot sites cleansing the pivots of any debris, the mechanism that prevents thrombus formation and prevents leaflet malfunction. In the deep transgastric or midesophageal long-axis view, the washing jets are directed outward (away from the central axis of the On-X valve) toward the walls of the left ventricular outflow (LVO) tract, which contrasts with the St. Jude bileaflet valve, where the jets are directed inward (toward the central axis of the

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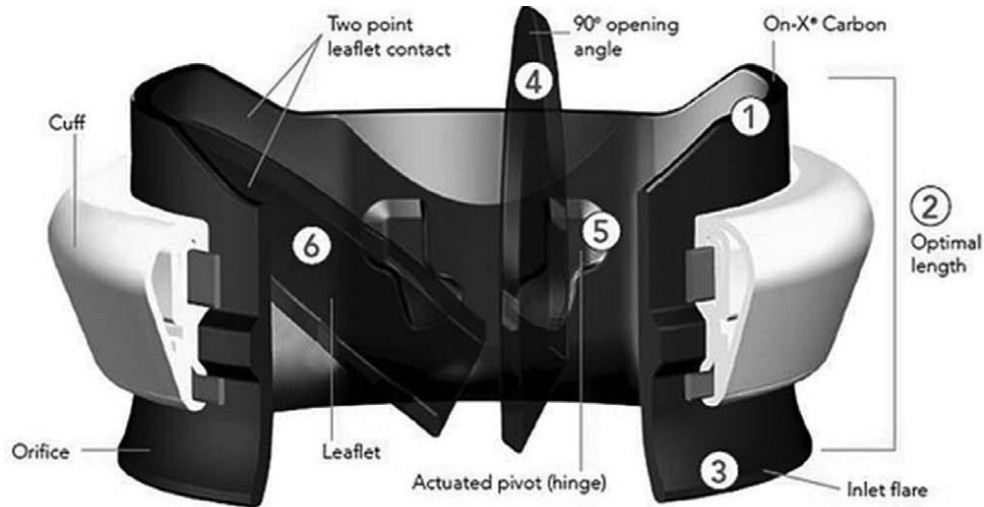


FIGURE 1. On-X Valve design features. Features of On-X valve: (1) pure carbon; (2) optimal longer length; (3) inlet flared orifice; (4) full 90 degree leaflet opening; (5) stasis-free pivots; and (6) 2-point closure. Reproduced with permission from On-X Life Technologies Inc.

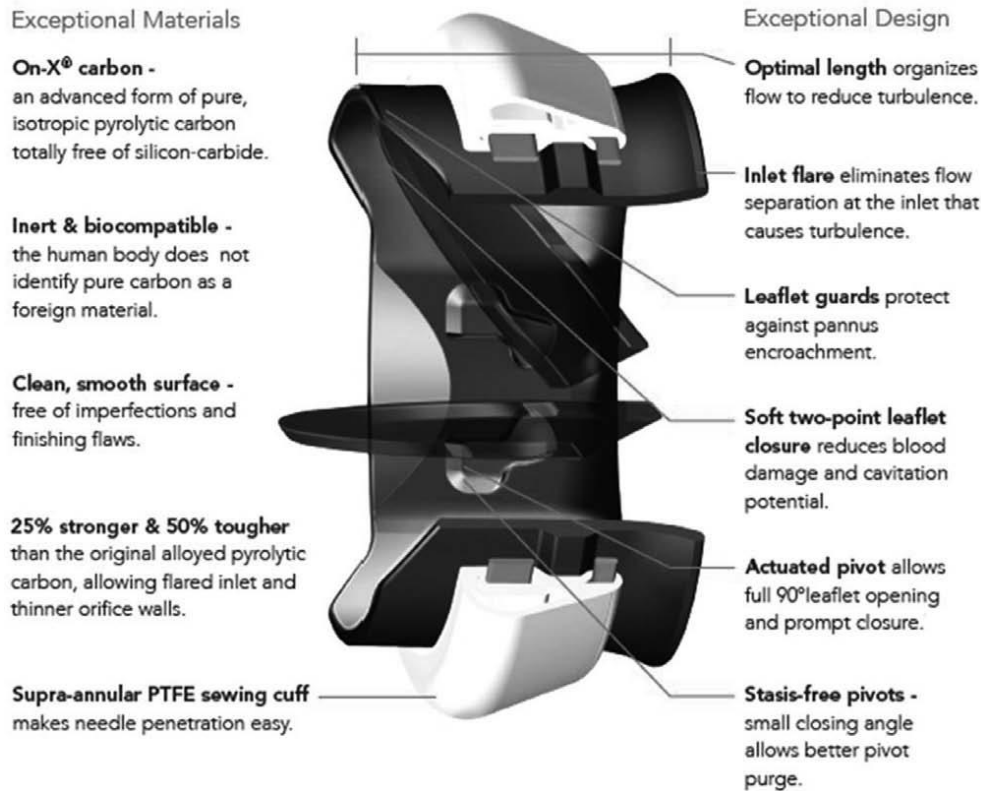


FIGURE 2. Features and advantages of the On-X valve. Reproduced with permission from On-X Life Technologies Inc.

valve).¹⁸ Knowledge of these jets is important to avoid erroneous diagnosis of a valvular leak (assessed by measuring jet width, jet area, the ratio of jet diameter/LVO diameter, and jet area/LVO area). As reported by Chambers and Ely,¹⁹ the effective orifice area (EOA) should be measured using the ratio of the LVO tract and transaortic velocity–time integrals in the continuity equations. Utilizing the peak LVO tract and transaortic valve velocities can lead to an inaccurate estimation of the EOA.

PROACT AND OTHER STUDIES EVALUATING LOWER INTERNATIONAL NORMALIZED RATIO GOALS

The interim results of the PROACT study [highlighting high-risk aortic valve replacement (AVR) patients] were published in April 2014.²⁰ In this trial, the “high-risk” AVR group was defined by the presence of any of the following: chronic atrial fibrillation, left ventricular ejection fraction <30%, enlarged left atrium >50 mm in diameter, spontaneous echocardiographic contrasts in the left atrium,

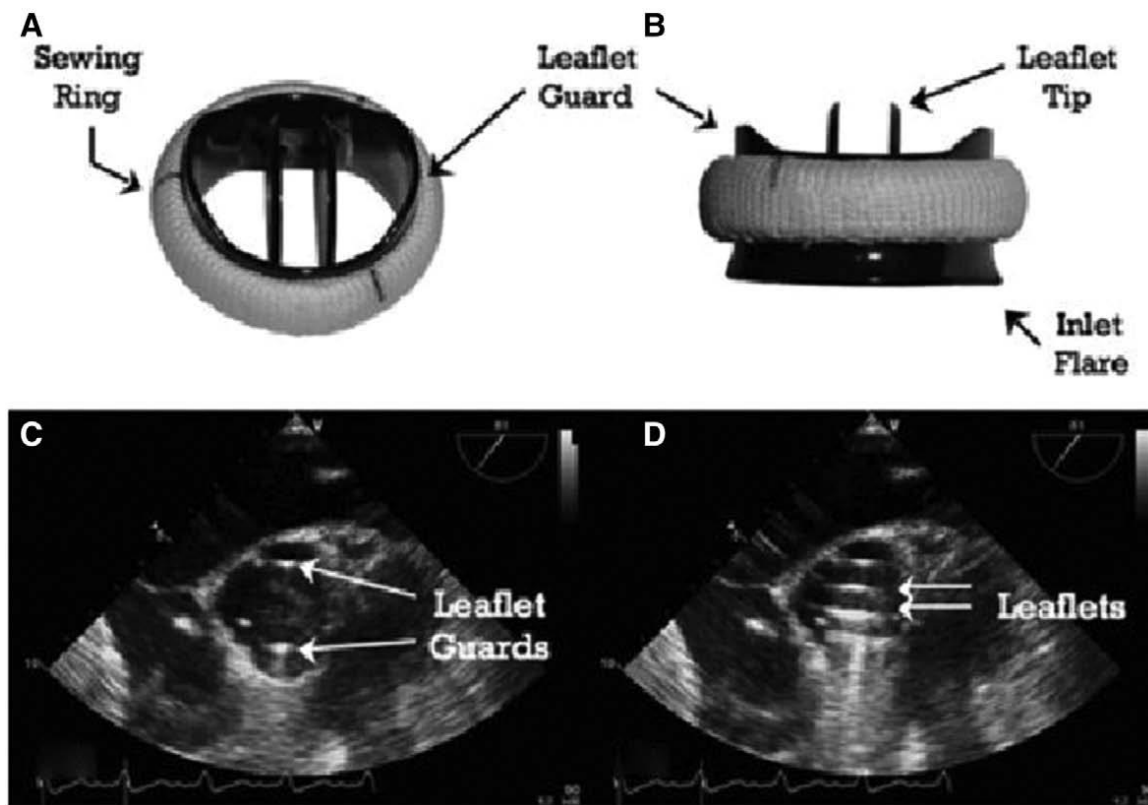


FIGURE 3. Echocardiographic features of the On-X valve. A, On-X valve short axis (SAX) in vitro; (B) On-X valve long axis (LAX) in vitro; (C) TEE image of the On-X valve closed in the midesophageal (ME) SAX view. D, TEE image of the On-X valve open in the ME SAX view. TEE indicates transesophageal echocardiogram.

vascular pathologic features, neurologic events, hypercoagulability, left or right ventricular aneurysm, lack of a platelet response to aspirin or clopidogrel, and women receiving estrogen replacement therapy. Three months after AV implantation, 375 patients were randomized into 2 groups: 190 patients received aspirin 81 mg/d with doses of warfarin titrated to maintain an international normalized ratio (INR) between 1.5 and 2.0 and 185 patients received aspirin 81 mg/d and warfarin titrated to an INR between 2.0 and 3.0. Warfarin dose adjustments were made using home INR monitoring. The mean study follow-up period was 3.82 years. The study demonstrated a higher safety and efficacy for low-dose aspirin and target INR of 1.5–2.0 in patients with high-risk AVR. There was a significant reduction in major and minor bleeding events in the lower INR group when compared with conventional INR goals (1.48% vs 3.31%/patient-years; $P = 0.032$ for major bleeding; and 1.18% vs 3.31%/patient-years; $P = 0.011$ for minor bleeding). The benefit was amplified when the INR was closer to 2.0 when compared with 1.5, with thromboembolic events being more common when the INR was <1.5 . No statistical significance was found in transient ischemic attacks, stroke, or total neurologic events. The combined endpoint of clots, bleeding events, and stroke rates were 9/patient-years for the low INR group compared with 12/patient-years with the standard INR group ($P = 0.046$). No difference in all-cause mortality was seen between the 2 groups.²⁰

LOWERING-IT (LOWERING the INTensity of oral anticoagulant Therapy in patients with bileaflet mechanical aortic valve replacement) study was another trial that evaluated 396 “low-risk” patients undergoing single mechanical bileaflet valve implantation in the aortic position and compared INR goals of 1.5–2.5 ($n = 197$; low

INR group) versus 2.0–3.0 ($n = 199$; conventional INR group).²¹ The primary outcomes of the study were thromboembolic events including thrombosis and thromboembolism; the secondary outcomes included the occurrence of any bleeding events. Although the results were similar, there are some fundamental key differences from the PROACT trial (Table 1).^{20–22}

The ESCAT II trial (INR Self-Management Permits Lower Anticoagulation Levels After Mechanical Heart Valve Replacement) evaluated patients with mechanical AVs (St. Jude Medical Standard and Medtronic Hall) for 2 years in 2 groups, with lower INR goals of 1.8–2.8 ($n = 1327$) and high INR goals of 2.5–4.5 ($n = 1346$).²² This trial reported a thromboembolism rate of 0.24%/patient-years with a lower INR (versus 0.46% with high INR; $P > 0.05$) and bleeding complications were noted to be 1.42%/patient-years with lower INR goals (versus 1.78% with higher INR goals; $P > 0.05$) in the aortic position. An important similarity between this study and the PROACT study is that INR values were self-managed by patients, hence increasing compliance²³ (Table 1).

Caveats in the PROACT Trial

The PROACT study is not without its limitations. In the high-risk AV patient population, the prevalence of atrial fibrillation in the lower INR group was 2% ($n = 3$) versus 6% ($P = 0.06$) in the conventional INR group. This incidence was much lower than the national prevalence of 6.5% and from other studies evaluating mechanical valves like Medtronic ATS mechanical cardiac valve prosthesis, with a prevalence of 30%.^{24,25} The thromboembolic risk imposed by atrial fibrillation is independent of the presence of an On-X valve in the aortic position, and an INR of 1.5 is considered subtherapeutic for

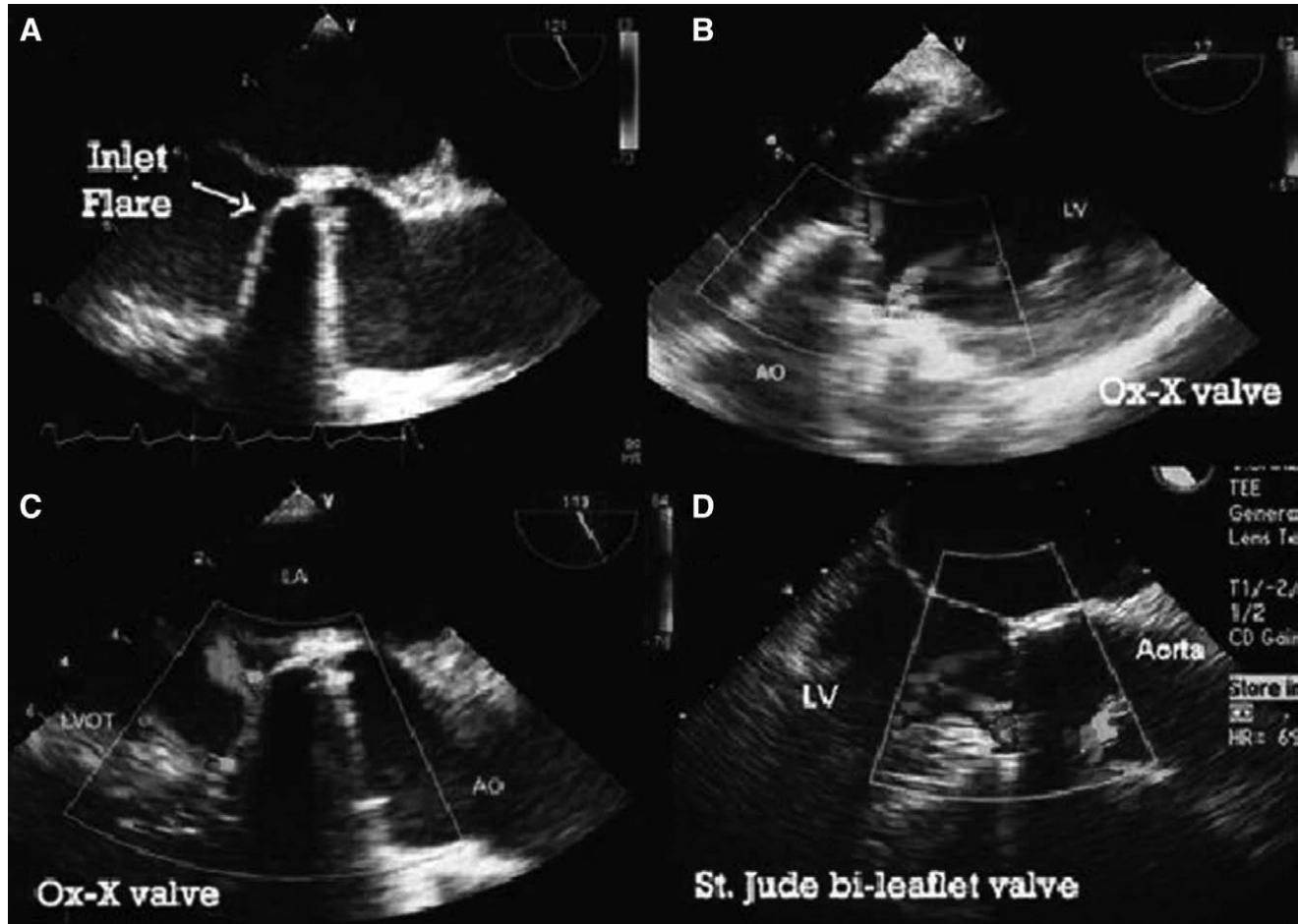


FIGURE 4. Echocardiographic features of the On-X valve (regurgitant jets positioning). A, TEE image of the On-X valve in the midesophageal long axis (ME LAX). B, Color flow Doppler image of On-X obtained in the deep transgastric view valve showing nonconverging washing jets. C, TEE image of On-X valve washing jets in ME LAX. D, TEE image of the St. Jude bileaflet valve in ME LAX showing typical converging washing jets. TEE indicates transesophageal echocardiogram.

thromboembolic prevention in atrial fibrillation patients. It has been demonstrated that the occurrence of stroke in patients with atrial fibrillation (especially with an INR <2.0) is associated with higher morbidity and mortality rates.²⁶ Also, according to the current anticoagulation guidelines in patients with nonvalvular atrial fibrillation, the assessment of CHA₂DS₂-VASc score and maintenance of INR ≥2 (target between 2.0 and 3.0) is a class I recommendation. However, in patients with atrial fibrillation mechanical heart valves, the target INR is aimed between 2.5 and 3.5 for both aortic and mitral positions.²⁷ Hence, this FDA approval for patients who received an On-X valve and chronic atrial fibrillation (as noted from the high-risk group of PROACT trial) at lower than guideline suggested INR levels (1.5–2.0 instead of 2.5–3.5) raise an important concern. Also, the differences in the 2 arms of the PROACT trial (low INR and conventional INR) for combined results of valve thrombosis and thromboembolic events were 2.96% per patient-years versus 1.85% per patient-years, respectively ($P = 0.18$), with a test to control ratio of 1.6. This could have shown statistical significance if the power of the study was greater.

Other factors leading to bias in interpretation of the study results include a relatively small number of patients in the lower INR goal group (1.5–2.0; $n = 185$) and exclusion of patients who are at highest thromboembolic risk (ie, within first 3 months

postprocedure).^{28–30} Also, the trial utilized self-monitored INR once a week that is not reflective of conventional outpatient anticoagulation management. Likewise, the patients in both groups had a high degree of compliance with home INR monitoring, as most INRs recorded in the test group were closer to 2.0, not reflective of an office-based community setting where the mean time in chosen therapeutic range was achieved in only 54% of the subjects.³¹

ADVANTAGES OF THE ON-X VALVE

In comparison with its other contemporary bileaflet valves, like the St. Jude valve, the On-X valve was associated with a reduced incidence of thrombosis, stenosis, and hemolysis in the aortic position. In a study comparing the St. Jude valve with the On-X valve in the aortic position, there was no significant difference between the 2 prostheses in hemodynamic parameters postoperatively and at 1 year of follow-up.³² In 2013, Chambers et al.¹² reported on 12 years of prospective data ($n = 214$) demonstrating a zero valve thrombosis rate in patients with an On-X AVR. In contrast, other bileaflet valves have been reported to have valve thrombosis rates as follows: Medtronic open-pivot aortic valve (3.8%/patient-years), St. Jude valve (0–0.5%/patient-years), Carbomedics valve (0–0.07%/patient-years), Edwards-Tekna valve (0–0.21%/patient-years), and Sorin Bicarbon valve (0%/patient-years).^{33–35}

TABLE 1. Characteristics of Major Trials Evaluating the On-X Valve

Study Name	Inclusion Criteria	Exclusion Criteria	Groups (n)	Outcomes (P Value)
PROACT trial ²⁰	Adult patients (>18 years) Clinical indication for isolated AVR Concomitant cardiac surgery— CABG, mitral or tricuspid valve repair, ascending aortic replacement, Maze procedure were allowed High-risk group: Defined as follows: 1. Chronic afib 2. LVEF <30% 3. Enlarged LA >50mm diameter 4. Spontaneous echocardiographic contrast in LA 5. Vascular pathologic features, neurologic events 6. Hypercoagulability 7. Left or right ventricular aneurysm 8. Lack of a platelet response to aspirin or clopidogrel 9. Women on estrogen replacement therapy	Right-sided valve replacement Double (aortic plus mitral) valve replacement Patients with active endocarditis at implantation	Low INR group 1.5–2.0 (n = 190) vs standard INR group 2.0–3.0 (n = 185)	(Results reported as rate %/ patient-years) Major bleeding (1.48 vs 3.31, <i>P</i> = 0.032) Minor bleeding (1.18 vs 3.31, <i>P</i> = 0.011) Total bleeding events (2.67 vs 6.62, <i>P</i> < 0.001) TIA (1.33 vs 0.79, <i>P</i> = NS) Ischemic stroke (0.74 vs 0.66, <i>P</i> = NS) Hemorrhagic stroke (0.15 vs 0.26, <i>P</i> = NS) Neurologic event (2.07 vs 1.46, <i>P</i> = NS) All TE (2.67 vs 1.59, <i>P</i> = NS) Valve thrombosis (0.3 vs 0.26, <i>P</i> = NS) Major event (4.44 vs 5.16, <i>P</i> = NS) Death (1.48 vs 1.46, <i>P</i> = NS)
LOWERING-IT trial ²¹	Patients with single AVR, valve prosthesis dimension ≥21 mm, normal LVEF and LA diameter <47 mm (defined preoperatively by echocardiogram), normal sinus rhythm, and warfarin-naïve (ie, never been on warfarin before)	Contraindication to anticoagulant treatment (including pregnant women) Valvular prosthesis on another orifice Concomitant nonvalve procedures (including CABG) Dialyzed renal failure Hepatic insufficiency Patients with a high risk of TE events (ie, afib, history of cardiac TE, LA diameter >47 mm, thrombosis, or calcification of LA)	Low INR group 1.5–2.0 (n = 197) vs standard INR group 2.0–3.0 (n = 199)	(Results reported number of events) Valve thrombosis (0 events) Ischemic stroke/TIA (0 vs 2) Coronary and/or peripheral Embolism (0 events) Overall TE events (OR 0.33; 95% CI, 0.006–4.20; <i>P</i> = 0.62) Major bleeding (0 versus 3) Nonmajor bleeding (6 vs 13) Overall hemorrhagic events (OR 0.36; 95% CI, 0.11–0.99, <i>P</i> = 0.04)
ESCAT II trial ²²	Mechanical heart valve recipients	1. Contraindication to phenprocoumon (eg, allergy) 2. Known ulcerous disease with bleeding tendency 3. Hypo- or hypercoagulability 4. Age <18 years	Low INR of 1.8–2.8 (n = 1327) vs target INR of 2.5–4.5 (n = 1346)	(Results reported as rate %/ patient-years) Thromboembolic complications in aortic position [0.24 vs 0.46 (<i>P</i> = NS)] Bleeding complications in aortic position [1.42 vs 1.78 (<i>P</i> = NS)]

Afib indicates atrial fibrillation; AVR, aortic valve replacement; CI, confidence interval; CABG, coronary artery bypass grafting; INR, international normalized ratio; LA, left atrium; LVEF, left ventricular ejection fraction; NS, not significant; OR, odds ratio; TE, thromboembolic; TIA, transient ischemic attack.

The study also reported that the rate of thromboembolism, including peripheral embolism, transient ischemic attack, and stroke, has a linearized rate of 0.6–0.88%/patient-years with the On-X aortic valves. This compares favorably with thromboembolic events with other mechanical valves in the aortic position: Medtronic open-pivot aortic valve (0.4–0.8%/patient years), St. Jude valve (0.62–4.48%/patient-years), Carbomedics valve (0.32–1.39%/patient-years), Edwards-Tekna valve (0.44–2.41%/patient-years), and Sorin Bicarbon valve (0.98%/patient-years).^{12,13,33–42} Although the results demonstrated favorable valve thrombosis and thromboembolic outcomes, they need to be carefully interpreted, as the risk of thrombosis and related complications in patients with prosthetic heart valves also depend on the patients own risk factors along with the type of prosthesis. No structural failures have been reported with the On-X valve so far,^{10–12,35,43} which contrasts with the St. Jude mechanical valve where incidents of leaflet escapes have been reported.^{35–37} The rate of endocarditis was low (0.37%/patient-years) and of similar incidence when compared with other mechanical bileaflet valves: Medtronic

open-pivot aortic valve 0.8%/patient-years, St. Jude valve 0.08–1.1%/patient-years, Carbomedics valve 0.16–0.48%/patient-years, Edwards-Tekna valve 0.29–0.41%/patient-years, and Sorin Bicarbon valve 0.49%/patient-years.^{12,33–35} Mechanical valves have also been associated with chronic hemolysis, a reduction in levels of haptoglobin to below normal, and lactate dehydrogenase elevation to as much as 200% above upper normal. However, with the On-X valves, haptoglobin was reduced to 86% below normal in patients with AVR after 1 year of implantation and the lactate dehydrogenase value was seen to be at 98% of upper normal value, suggesting nonoccurrence of hemolytic anemia with this valve.⁴⁴ The bleeding rates observed were 0.40–0.77%/patient-years with standard INR goals, which is similar to the other mechanical bileaflet valves.^{12,13}

Valve efficiency and hemodynamic performance are evaluated by EOA and gradient (pressure difference across the valve) as measured on echocardiography. Follow-up studies on the hemodynamics of older mechanical valves have demonstrated a poor efficiency in smaller sized valves.^{45–47} However, the parameters of the On-X valve

TABLE 2. On-X Valve Comparison to Tissue Heart Valve Hemodynamics

Valve Size	On-X Valve ⁴⁸		Medtronic AT5 Valve ⁴⁹		St Jude Medical Standard Valve ⁴⁹		Sorin Bicarbon Bileaflet Valve ⁴⁹	
	EOA (cm ²)	Gradient (mm Hg)	EOA (cm ²)	Gradient (mm Hg)	EOA (cm ²)	Gradient (mm Hg)	EOA (cm ²)	Gradient (mm Hg)
19	1.5	8.7	1.1	25.3	1.5	24.5	1.4	16.7
21	1.8	8.1	1.4	15.9	1.4	15.2	1.2	10.0
23	2.3	6.6	1.7	14.4	1.6	13.4	1.5	7.7
25	2.7	4.2	2.1	11.3	1.9	11.0	2.4	5.6

EOA indicates effective orifice area.

have demonstrated superior hemodynamics (larger EOA and smaller gradient) and thus increased efficiency. A comparison of the On-X valve hemodynamics with other tissue valves at varying sizes is presented in Table 2.^{48,49}

ON-X VALVE DISADVANTAGES

Similar to other mechanical prostheses, the On-X valve is associated with an increased risk of bleeding, thromboembolism and stroke/transient ischemic attack, and endocarditis when compared with bioprosthetic valves.

ANTICOAGULATION WITH AN ON-X VALVE

Currently, the only oral anticoagulant indicated in patients receiving a mechanical prosthetic valve is warfarin (vitamin K antagonist). Multiple studies have been performed and are underway comparing the efficacy of newer oral anti-Xa inhibitors in patients with prosthetic mechanical valves. The RE-ALIGN study⁵⁰ was a randomized, prospective, phase II dose-validation study to evaluate dabigatran in comparison with warfarin for patients with mechanical prosthetic heart valves. The study was prematurely discontinued after recruitment of 252 patients because of unacceptable thromboembolic and bleeding event rates in the dabigatran group. The dabigatran group had a 5% stroke rate (versus 0% with warfarin), 3% of patients had valve thrombosis (versus 0% with warfarin), 4% of patients experienced major bleeding episodes (versus 2% with warfarin), and 27% of patients experienced any bleeding (versus 12% with warfarin). The composite of stroke, transient ischemic attack, systemic embolism, myocardial infarction, or death occurred in 9% of patients in the dabigatran group compared with 5% in the warfarin group.⁴⁸ However, studies have shown promising results with rivaroxaban in a porcine model with an implanted mechanical prosthetic heart valve, and one is currently under evaluation in the CATHAR trial (Comparison of Antithrombotic Treatments After Aortic Valve Replacement, NCT02128841) with mechanical prosthetic heart valves. To date, there have been no studies assessing the role of apixaban in patients with mechanical prosthetic heart valves.

Because of the increasing experience using novel oral anticoagulants and the trials evaluating the use of these agents in mechanical prosthetic heart valves, it is possible that anticoagulation in mechanical prosthetic valves might be managed with these agents in the near future. Whether these valves would require a reduced dosing regimen with the On-X valve compared with other mechanical prosthetic valves remains to be determined.

FUTURE DIRECTIONS FOR THE ON-X VALVE

The On-X valve has been implanted in both the mitral position and a dual valve replacement option (aortic and mitral valve). Data from clinical studies after the On-X valve implantation in the

mitral position have demonstrated a valve thrombosis risk ranging from 0 to 0.1%/patient-years. These levels were found to be comparable with other valves in the mitral position, including the St Jude valve (0–0.45%/patient-years), Carbomedics valve (0.16–0.51%/patient-years), and Edwards-Tekna valve (0.49%/patient-years). Thromboembolic risk with the On-X valve was seen to be at a linearized rate of 1% in the mitral position and 1.8% for double valve replacement. This compares with the reported thromboembolic rate for other valves like the St Jude valve (0.21–7.15%/patient-years), Carbomedics valve (1.07–2.24%/patient-years), and Edwards-Tekna valve (0.49–1.20%/patient-years).^{9,10,12,34}

Two arms of the PROACT study are still recruiting patients, and their results are anticipated to be available by March 2018. Their results may open new avenues for this valve with a potential use beyond the American Heart Association guidelines at positions other than the aortic valve with lower INR goals. The 2 arms are comparing (a) patients with low-risk AVR on aspirin/clopidogrel without anticoagulation compared with the addition of warfarin at an INR range of 2.0–3.0; (b) On-X valve implantation in the mitral position in patients on aspirin with the addition of warfarin at either an INR of 2.0–2.5 versus 2.5–3.5. The results of this study may usher in a new era of mechanical prosthetic valves, which are less thrombogenic and thus require lower levels of anticoagulation.

CONCLUSION

At present, with the limited data available only from one major trial (PROACT) evaluating lower INR goals in high-risk individuals, we recommend a cautious and patient-by-patient approach toward lowering INR goals in patients receiving an aortic On-X valve implantation.

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